SAN BERNARDINO COUNCIL OF GOVERNMENTS

REQUEST FOR PROPOSALS (RFP)  20-1002380

FOR

FINANCIAL ADVISORY & PROGRAM MANAGEMENT SERVICES
FOR THE ADMINISTRATION OF PACE ASSESSMENTS

KEY RFP DATES

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<tbody>
<tr>
<td>RFP Release Date:</td>
<td>Thursday – April 2, 2020</td>
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<tr>
<td>Pre-Proposal Conference Date:</td>
<td>Pre-Proposal Conference Not Scheduled</td>
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<td>Question Submittal Deadline:</td>
<td>Thursday – April 16, 2020 at 4:00 p.m.</td>
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<td>Proposal Due Date:</td>
<td>Thursday – April 23, 2020 at 2:00 p.m.</td>
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<td>Interview Date:</td>
<td>Wednesday – May 13th or Thursday – May 14, 2020</td>
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<tr>
<td>Contract Award:</td>
<td>Wednesday – June 3, 2020</td>
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<td>Notice To Proceed:</td>
<td>June 2020</td>
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April 2, 2020

SUBJECT: NOTICE OF REQUEST FOR PROPOSALS (RFP) 20-1002380, “FINANCIAL ADVISORY & PROGRAM MANAGEMENT SERVICES FOR THE PACE ADMINISTRATION”, (hereinafter referred to as “Project”)

The San Bernardino Associated Governments, known as the San Bernardino Council of Governments (“SBCOG”) invites proposals from qualified firms to provide Financial Advisory & Program Management Services for the Administration of PACE Assessments as identified in this RFP.

Firms intending to submit proposals should note the “Key RFP Dates” on the cover of this RFP. It is our intention, subject to approval by SBCOG’s Awarding Authority, to have the selected firm under contract by June 2020. Firms submitting proposals will be evaluated based on qualifications, prior experience with the same or similar type of services identified in the attached Scope of Services, proposed staffing, the firm’s understanding of the needs and requirements of the Project, cost and price, and overall value to SBCOG as identified in this RFP.

The RFP and all RFP schedule updates and addenda, together with other important information, are available on the Vendor Portal on SBCTA’s website at: www.gosbcta.com, click on “Doing Business”, which will take you to the “Bids & RFPs” page. There, click on the tab “Vendor Portal”. The Vendor Portal is the official means of notification to all prospective proposers. Firms are requested to check the Vendor Portal periodically, and no less frequently than weekly, for RFP schedule updates, addenda, and other information. All proposers will be held accountable for compliance with all updates, addenda and other information posted on the website. Please note that SBCOG will not be responsible for mailing any addenda, schedule updates or other information to any firm.

Proposals are due on or before 2:00 p.m., Thursday – April 23, 2020.

A PRE-PROPOSAL CONFERENCE is NOT SCHEDULED for this procurement.

All questions related to this RFP must be put in writing and submitted to SBCOG no later than 4:00 p.m., on Thursday – April 16, 2020. All questions must be clearly labeled, “Written Questions – RFP20-1002380” and submitted electronically to:

David Gallardo - Procurement Analyst
procurement@gosbcta.com
RFP20-1002380

Questions received after the deadline may or may not be responded to at the sole discretion of SBCOG. Questions received by the deadline or responded to after the deadline at the discretion of SBCOG, and the written responses will be posted via written addendum on the Vendor Portal on SBCTA’s website at www.gosbcta.com: click on “Doing Business”, which will take you to the “Bids & RFPs” page. There, click on the tab “Vendor Portal”.

RFP20-1002380 Consultant Services- Form Approved X/X/15 Page 1 of 53
SAN BERNARDINO COUNCIL OF GOVERNMENTS

REQUEST FOR PROPOSALS 20-1002380

FOR

“FINANCIAL ADVISORY & PROGRAM MANAGEMENT SERVICES
FOR THE ADMINISTRATION OF PACE ASSESSMENTS”

I. PROPOSAL INSTRUCTIONS

A. INTRODUCTION

The San Bernardino Associated Governments, known as the San Bernardino Council of Governments (“SBCOG”) is soliciting proposals from qualified firms (“firms” or “proposers”) to assist SBCOG with Financial Advisory & Program Management Services for the Administration of PACE Assessments (“Project”).

B. CONTACT INFORMATION

All inquiries, contacts or questions related to this RFP shall be directed to:

David Gallardo - Procurement Analyst
SBCTA
1170 W. 3rd Street, 2nd Floor
San Bernardino, CA 92410-1715
(909) 884-8276
procurement@gosbcta.com

Proposers are cautioned not to discuss this RFP with any official, Board Member or employee of SBCOG, other than the staff identified herein. Neither proposers, nor anyone representing the proposer, are to discuss this RFP with any consultant or contractor engaged by SBCOG for assistance in preparing a response to the RFP. Violation of this prohibition may result in disqualification of the proposer.

C. PRE-PROPOSAL CONFERENCE

A PRE-PROPOSAL CONFERENCE is NOT SCHEDULED for this procurement.

D. WRITTEN QUESTIONS/CLARIFICATIONS

All questions and or clarifications to this RFP must be put in writing and submitted electronically to procurement@gosbcta.com, and they must be received by SBCOG no later than 4:00 p.m., on Thursday – April 16, 2020. Questions received after the date and time specified may or may not be responded to, at the sole discretion of SBCOG. All questions/clarifications must be clearly labeled “Written Questions – RFP20-1002380”. SBCOG is not responsible for failure to respond to questions that are not appropriately marked. SBCOG’s responses to the questions received by the date and time identified herein, including
SBCOG’s answers, will be posted on the Vendor Portal on San Bernardino County Transportation Authority’s (“SBCTA”) website at www.gosbcta.com, click on “Doing Business”, which will take you to the “Bids & RFPs” page. There, click on the tab “Vendor Portal”.

E. ADDENDA
Any changes to this RFP will be made by written addendum and posted on SBCOG’s website. SBCOG will not be bound to any modifications to or deviations from the requirements set forth in this RFP as a result of any oral discussions and/or instructions. Proposers shall acknowledge any addenda in their proposal.

F. CONTRACT TYPE
A (Time and Materials) contract will be used for the Project. Any work provided by the selected firm that is not specifically covered by the contract will not be reimbursed. Please refer to the form of contract attached to this RFP for more detailed information.

G. INFORMED PROPOSER
Proposers shall review the Scope of Services (Attachment A), the Price Proposal (Attachment B) and the contract (Attachment C), for a complete understanding of the terms and conditions of this RFP. Proposers are expected to be fully aware of the conditions, requirements, and Scope of Services before submitting any proposal. Failure to do so will be at the proposer’s own risk. By submitting a proposal, the proposer represents that it is legally qualified and fully capable of performing quality work to achieve SBCOG’s objectives and comply with all requirements identified in this RFP and any and all schedule updates, addenda and other information and instructions posted on SBCOG’s website as set forth above.

H. CONFLICT OF INTEREST
Any person or firm that has assisted SBCOG in preparing any aspect of this RFP or any cost estimate associated with the Scope of Services related to this RFP is prohibited from submitting a proposal in response to this RFP. Firms that received assistance from any such person or entity, or who will use the services of such person or entity in performing the Services, will be disqualified. A firm who is prohibited from submitting a proposal in response to this RFP will not be prevented from participating in future projects to the extent that no direct conflict of interest exists at the time.

I. PRE-CONTRACTUAL EXPENSES
SBCOG shall not be liable for any pre-contractual expenses incurred by the firm in preparation or submission of their proposal. The proposer shall not include any such expenses as part of their price proposal. Prohibited pre-contractual expenses include any and all expenses incurred by the proposer prior to issuance of the Notice To Proceed by SBCOG.

J. IRAN CONTRACTING ACT OF 2010 – “INTENTIONALLY OMITTED”

K. PREVAILING WAGES – “INTENTIONALLY OMITTED”
I. MATERIALS FURNISHED BY SBCOG

All software, data, reports, surveys, drawings, and other documents furnished to the consultant by SBCOG for the consultant's use in the performance of Services shall be made available only for use in performing the assignment and shall remain the sole property of SBCOG. All such materials shall be returned to SBCOG upon completion of Services, termination of the contract, or other such time as SBCOG may determine.

M. DISADVANTAGED BUSINESS OPPORTUNITIES

Though no DBE goal is set for this Project, SBCOG encourages participation from small and Disadvantaged Business Enterprise (DBE). Firms interested in the DBE program may contact Jeffery Hill, Procurement Manager, at (909) 884-8276.

II. PROPOSAL SUBMITTAL

Proposals are due at or before 2:00 p.m., Thursday – April 23, 2020 Electronically Only to:

David Gallardo - Procurement Analyst
SBCTA
Electronically Only at: procurement@gosbcta.com
Subject Line: RFP20-1002380

All proposals are to be submitted in a sealed package, clearly marked with RFP number and title and clearly marked with the proposer’s name and address. Postmarks will not be accepted in lieu of receiving proposals by the date and time specified. Proposals received after the date and time specified will be returned to the firm without further consideration or evaluation.

Please note that where two or more firms, persons or entities wish to submit one proposal in response to this RFP, they should do so on a prime/subconsultant basis rather than as a joint venture. SBCOG will contract with a single firm, person or entity only and not with a joint venture.

A. PROPOSAL CONTENT

The proposal is limited to a 50 page cap on 8 ½” x 11” paper using no less than 11-point font. Only charts and schedules may be included in 11” x 17” format and will counted as one page each. Each page must be consecutively numbered. Proposals shall not include any unnecessarily elaborate or promotional material. Lengthy narrative is discouraged, and presentations should be brief and concise. Proposals that do not contain the required information will be deemed non-responsive and will not be considered. The page limit does not include the following:

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<th>Documents not included in page count</th>
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<td>Table of Contents</td>
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<td>Cover Letter</td>
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<td>Memorandums from Subconsultants</td>
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<td>SBCOG-provided Forms</td>
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<td>Outside Cover</td>
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<tr>
<td>Appendices</td>
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If at any time during the RFP process a firm makes any changes to proposed key personnel or subconsultants, the firm must notify SBCOG in writing of those proposed changes as soon as they are known. SBCOG reserves the right to accept or reject such proposed changes or to revise the evaluation scoring to reflect the proposed staffing changes.

All proposals must include the following information:

1. **Cover Letter**
   - Identification of all proposed subconsultants, including description of the work to be performed by the firm and each subconsultant proposed for the Project and an estimate of the percentage of work to be performed by each subconsultant.
   - Indicate the location of the office from which the work will be performed.
   - A memorandum from a principal of each subconsultant indicating the specific portion of the Services the subconsultant will be performing.
   - Acknowledgement of all addenda.
   - A signed statement by an officer of the prime firm attesting that all information in the proposal is true and correct.
   - A signature of an authorized person within the prime firm who can bind the firm to the terms and conditions of the RFP.
   - A statement that the proposal shall remain valid for 120 days from the date of submission.
   - A list of all prime contracts (if any) awarded to the proposer by SBCOG for the last five (5) years. The list shall include a short description of the project, the award date, completion date, name of assigned Project Manager and contract value.

2. **Contract Termination Circumstances**

   If proposer has ever been terminated from a contract, describe the facts and circumstances in detail. Firms shall refer to SBCTA Policy No. 11000 for details. Firms may download the Policy from SBCTA’S website at [www.gosbcta.com](http://www.gosbcta.com), click on “Doing Business” and under the tab “Bids & RFPs” scroll down to the heading “Important Documents”.

3. **Technical Information**

   The technical portion of the proposal shall include the following information:

   a. **Qualifications, Related Experience, and References**

      This section of the proposal should establish the ability of the proposed team to satisfactorily perform the required work by reasons of: experience in performing work of the same or similar nature; demonstrated experience working with agencies and cities directly involved in this Project, if applicable; staffing capability; work load; record of meeting schedules on similar projects; and supportive client references.

      Specifically:
• Provide a brief profile of the firm, including the types of services offered; the year founded; form of the organization (corporation, partnership, sole proprietorship); number, size and location of offices; number of employees.

• Provide a general description of the firm's current financial condition; identify any conditions (e.g., bankruptcy, pending litigation, planned office closures, impending merger) that may impede ability to complete the Project.

• Describe the firm's experience in performing work of a similar nature to that solicited in this RFP, and the participation in such work by the key personnel proposed for assignment to this Project. Highlight the firm’s and key personnel’s experience with the work or services identified in the Scope of Services.

• Describe experience in working with the various government agencies that may have jurisdiction over the approval of the work specified in this RFP. Please include specialized experience and professional competence in areas directly related to this RFP.

• Provide a list of past joint work by the proposer and each subconsultant, if applicable. The list should clearly identify the project and provide a summary of the roles and responsibilities of each party.

• Provide completed reference forms for work of a similar nature to what is in this RFP. (See 6. References for full details). References may also be supplied from other work not cited in this section as related experience.

b. Proposed Staffing and Project Organization

This section of the proposal should establish the method that will be used to manage the Project as well as identify key personnel assigned and their qualifications.

Specifically:

• Provide education, experience and applicable professional credentials of proposed staff.

• Identify key personnel proposed to perform the work in the specified tasks and include major areas of subcontract work. Include the person's name, current location, proposed position for this Project, current assignment, level of commitment to that assignment, availability for this assignment, and how long each person has been with the firm. SBCOG reserves the right to review, approve and/or designate the positions and functions deemed to be “key” to the Project and request information concerning key personnel not listed as such by the proposer.

• Furnish brief resumes (not more than two [2] pages each) for the proposed key personnel.

• Include a Project organization chart that clearly delineates communication and reporting relationships among the project staff, including subconsultants.
• Include a statement that key personnel will be available to the extent proposed, or designated by SBCOG, for the duration of the Project, acknowledging that no person designated as "key" to the Project shall be removed or replaced without the prior written concurrence of SBCOG.

c. Project Approach/Work Plan

This section of the proposal shall provide a narrative that addresses the Scope of Services and shows understanding of the Project needs and requirements.

Specifically:

• Describe the approach and work plan for completing the tasks specified in the Scope of Services. The work plan shall be of such detail to demonstrate the firm’s ability to accomplish the project objectives and to meet the Project schedule.

• Outline sequentially the activities that would be undertaken in completing the tasks and specify who in the firm would perform the work.

• Furnish a Project schedule for each task and subtask in terms of elapsed weeks from commencement date.

• Identify methods that will be used to ensure quality control as well as budget and schedule control for the Project.

• Identify any special issues or problems that are likely to be encountered during this project and how will they be addressed.

Proposers are encouraged to propose enhancements or procedural or technical innovations to the Scope of Services that do not materially deviate from the objectives or required content of the project.

4. Forms – Proposers are required to complete and submit the following forms, which are included in this RFP, with their proposal:

• Form 12-B, “Bidders List of Subcontractors”.

• Certificate of Compliance with Insurance Requirements

• Disclosure of Campaign Contributions to Board of Directors

5. Price Proposal –

Proposers shall complete the pricing documents in this RFP identified as Attachment B, and submit with their proposal.

6. References –

Proposing firm and the Project Manager shall each have a total of 3 completed Reference Forms (See Attachment D) submitted directly from current or past clients. It is the responsibility of the Proposer to ensure timely return of any/all Reference Forms to procurement@gosbcta.com in accordance with the proposal due date and time established within this solicitation. Reference Forms will only be accepted if received directly from the client; forms received directly from the Proposer will NOT be evaluated. Reference Forms
must include the name, title, address, telephone number, and e-mail address of the person(s) at the client organization who is most knowledgeable about the work performed.

7. **Scope of Services and / or Contract Exceptions**

SBCOG does not anticipate making substantive changes to its form contract. Proposers are asked to include in their proposals a written discussion of any and all proposed exceptions to or deviations from SBCOG’s Scope of Services or form of contract presented herein as Attachment C. Proposers will be deemed to have accepted any and all terms and conditions not objected to or identified for any exception or deviation in the proposal and no further negotiations of any such term or condition will occur. SBCOG will not negotiate exceptions or deviations not presented in the proposal and may reject proposals where identified exceptions or deviations affect terms of conditions that SBCOG considers non-negotiable.

8. **Appendices**

Information considered pertinent to this RFP or to the evaluation of qualifications of the proposer which has not been specifically solicited in any of the aforementioned sections may be placed in a separate appendix section. Appendices are not included within the page limit set forth above. Appendices should be relevant and brief.

**III. ACCEPTANCE OF PROPOSALS**

SBCOG reserves the right to accept or reject any and all proposals, or any item or part thereof, or to waive any informalities or irregularities in a proposal. SBCOG reserves the right to withdraw or cancel this RFP at any time without prior notice and SBCOG makes no representation that any contract will be awarded to any firm responding to this RFP. SBCOG reserves the right to reject all proposals and to re-issue (or not re-issue) a new RFP for the same or similar Services. SBCOG reserves the right to postpone proposal openings for its own convenience. Proposers may withdraw their proposals before the proposal submittal date by submitting a written request signed by an authorized representative of the firm and delivered to SBCTA’s Procurement Manager at the address identified herein.

**IV. SELECTION CRITERIA AND WEIGHTS**

The primary objective of SBCOG is to select a qualified firm to perform the Scope of Services for SBCOG at a fair and reasonable cost. In addition, SBCOG has established the following criteria for the selection process:

A. The selection process shall be fair, open, and competitive.

B. The selection of the firm will be based on clearly stated objectives identified in this RFP.

C. Selection of the firm shall be based upon demonstrated competence, professional qualifications, experience and capabilities, and overall best value to perform the required Services identified in the Scope of Services.

D. Upon review of the proposals, a shortlist of firms within the competitive range will be invited to an interview tentatively scheduled for either **Wednesday – May 13, 2020** or **Thursday – May 14, 2020**. Interview schedule will be determined at the sole discretion of SBCOG based on the number of proposals received and firms will be notified timely. Interviews will be held remotely and will be the responsibility of firms invited to interview to provide
remote access by webinar for SBCTA evaluation committee members to access. The contract will be awarded to the firm who offers the overall best value, best conforming to the RFP, which is, in the opinion of SBCOG, most advantageous to SBCOG, and with whom a successful negotiation and agreement on cost and price can be concluded. The determination of the competitive range is at the sole discretion of SBCOG’s Evaluation Committee.

E. SBCOG reserves the right to reject any and all proposals and to negotiate with any responsible, responsive firm. SBCOG is under no obligation to award a contract for the Scope of Services. At the conclusion of the evaluation process, the Evaluation Committee will recommend to the body or officer having authority to award the Contract on behalf of SBCOG (“Awarding Authority”) the firm that offers the best overall value to SBCOG. SBCOG may or may not engage in negotiations with firms who submit proposals; therefore, the firm’s proposal should contain the most favorable terms and conditions including pricing, since the selection and award may be made without any discussion with any firm.

F. Proposals will be evaluated based on the criteria and weights identified herein.

- **Qualifications, Related Experience and References:** Firm’s experience, years in business, and past and current client references; technical expertise and professional competence in areas directly related to this RFP; number of years’ experience performing similar work; demonstrated ability to manage and coordinate the Services, deliver quality products and services, and deliver projects within budget and on schedule; and experience working with public agencies identified in this RFP. – 50 points.

- **Proposed Staffing and Project Organization:** Technical expertise and professional competence in areas directly related to the work identified in the RFP; level of experience, possession of certifications and licenses required, and level of training of key personnel assigned, including subconsultants, if applicable; strength of experience and stability of proposed personnel; breadth and depth of resources, coordination of Services and quality control; availability of proposed staffing; and concurrence with restrictions on changes to key personnel. – 30 points.

- **Work Plan:** Depth of understanding of SBCOG’s needs and requirements; understanding of the Scope of Services; proposer’s approach and methodology/systems reflect ability to provide the requested Services; demonstrated knowledge of the Services being requested; identification and knowledge of all requirements cited in the Scope of Services; and proposed technical and procedural innovations identified in the proposal – 20 points.

G. SBCOG shall select the highest ranked firms to participate in the interview process. The number of firms so invited shall be at the discretion of SBCOG, but shall not be less than two. Firms who are invited to the interview will be asked a series of questions which will be scored. The maximum score for the interviews is 100 points. Upon completion of the interview, the Evaluation Committee shall compile their interview scores. The interview will be weighted 60% and the technical proposal will be weighted 40%.

V. NEOTIATIONS AND AWARD

The contract, Scope of Services and price may be negotiated with the selected consultant. However, SBCOG may elect to not negotiate with any of the firms, and/or not award the contract. Therefore, it is imperative that each firm submit their best price as part of their proposal.
Firms are advised that any recommendation for contract award is not binding on SBCOG until SBCOG’s Awarding Authority approves the contract and the contract is fully executed.

VI. PROTEST INFORMATION

SBCOG has on file written protest procedures (Policy 11007). Firms may download a copy from www.gosbcta.com, click on “Doing Business” and under the tab “Bids & RFPs” scroll down to the heading “Important Documents”.

VII. DEBRIEFING

Firms who submit a proposal in response to the RFP shall be notified in writing when: the firm was not selected to receive further consideration in the RFP process; the firm was selected for the interview process; and after the RFP Evaluation Committee’s recommendation to award has been determined. Firms who were not awarded the contract may obtain a debriefing by contacting SBCTA’s Procurement Analyst at procurement@gosbcta.com. Firms will have thirty (30) calendar days from the date identified in the written correspondence to request a debriefing.

VIII. PUBLIC RECORDS ACT

Proposals may be subject to public disclosure under the California Public Records Act and other public records laws, and by submitting a proposal, the proposer waives all rights to confidentiality of any information submitted in the proposal and agrees to any and all such disclosures required or permitted by law. Proposals become the property of SBCOG when submitted and by submitting a proposal, the proposer agrees that SBCOG may use any information, documentation or writing contained in the proposal for any SBCOG purpose.
ATTACHMENT “A”

“SCOPE OF SERVICES”
FINANCIAL ADVISORY AND PROGRAM MANAGEMENT SERVICES RELATED TO THE ANNUAL ADMINISTRATION OF PROPERTY ASSESSED CLEAN ENERGY (PACE) ASSESSMENTS IN SAN BERNARDINO COUNTY

San Bernardino Associated Governments, known as San Bernardino Council of Governments (SBCOG), is seeking the services of a firm with experience in issues related to PACE Programs, their annual administration, and related public debt and refinancing. SBCOG is no longer creating new PACE assessments, but currently has approximately 8,766 active residential assessments and three commercial assessments that were levied between 2013 and 2017. The terms of these assessments range from 5 years to 25 years. The scope of services is as follows:

1. Financial Advisory Services
   a. Make recommendations as to changes in the Program.
   b. Provide cash flow analysis and other related schedules for Program.
   c. Assist in the evaluation of all other consultants, including legal counsel, for the Program, including review of engagement agreements.
   d. Attend finance team and Board meetings as needed.
   e. Advise SBCOG on financing alternatives, cash flow analysis, and market conditions.
   f. Advise SBCOG on strategies for selling delinquencies, including analysis of and negotiations with third parties.

2. Program Manager Services
   a. Assist with the implementation of up-to-date servicing mechanisms for the Program, including attendance at meetings to discuss the proposed approach, responsibilities and timeline for modifying the Program parameters.
   b. Assist in obtaining consultants to assist with ongoing servicing of the Program including but not limited to assessment administrator/special tax consultant, trustee, legal counsel, and property owner/real estate consulting.
   c. Assist with providing information to SBCOG member agencies on the Program as necessary.
   d. Collaborate with other consultants to prepare standardized sequence of events and responsibilities relating to each outstanding Contractual Assessment, including, but not limited to, submission of annual payments due to tax roll, apportionment of amounts collected to outstanding obligations, deposit of administrative expense and administrative reserve funds, fee billing/tracking from servicing team members, prepayment and subordination processing, bond maturity, and release of lien recordation.
   e. Maintain Assessment Contract records on the Program.
   f. Prepare periodic reports for compliance with the California Alternative Energy and Advanced Transportation Finance Authority PACE Loss Reserve Fund.
g. Monitor submittal of Assessments by the Assessment Administrator/Special Tax Consultant to the County for inclusion on the consolidated property tax bills.

h. Monitor Assessment Administrator/Special Tax Consultant’s tracking of payment of assessment installments, preparation of delinquency reports, preparation and mailing of delinquency notices and provision of administrative data.

i. Work with SBCOG staff to identify relevant Program components for reporting and public relations purposes. Provide annual report of annual Program results for submission to SBCOG Board of Directors.

******************* END OF SCOPE OF SERVICES *******************
ATTACHMENT “B”
“APPROVED PRICE FORM”

PRICE PROPOSAL FOR TIME AND MATERIALS
Key Personnel

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<th>Name</th>
<th>Classification/Title</th>
<th>Job Function</th>
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Other Direct Cost Schedule (ODC)

*All ODC’s are to be proposed at cost-without mark-ups.*

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Proposer

Signature of Authorized Person __________________________ Date _____________
This contract (‘Contract’) is made and entered into by and between the San Bernardino Associated Governments, known as San Bernardino Council of Governments (‘SBCOG’), whose address is 1170 W. 3rd Street, 2nd Floor, San Bernardino, California 92410-1715, and _____ (‘CONSULTANT’), whose address is ____. SBCOG and CONSULTANT are each a “Party” and are collectively the “Parties”.

RECITALS:

WHEREAS, SBCOG requires certain services as described in Exhibit “A” of this Contract; and

WHEREAS, CONSULTANT has confirmed that CONSULTANT has the requisite professional qualifications, personnel and experience and is fully capable and qualified to perform the services identified herein; and

WHEREAS, CONSULTANT desires to perform all Services identified herein and to do so for the compensation and in accordance with the terms and conditions set forth herein.

NOW, THEREFORE, the Parties agree as follows:
ARTICLE 1. PROJECT DESCRIPTION/SCOPE OF SERVICES

1.1 CONSULTANT agrees to perform the work and services set forth in Exhibit A “Scope of Services” (“Services”) in accordance with all applicable professional standards which are generally accepted in the State of California, in accordance with the terms and conditions expressed herein, and in the sequence, time, and manner defined herein. The word “Services” includes, without limitation, the performance, fulfillment and discharge by CONSULTANT of all obligations, duties, tasks, and services imposed upon or assumed by CONSULTANT hereunder; and the Services performed hereunder shall be completed to the satisfaction of SBCOG, with SBCOG’s satisfaction being based on prevailing applicable professional standards.

1.2 The Project Manager for this Contract is Duane Baker, or such other designee as shall be designated in written notice to CONSULTANT from time to time by the Executive Director of SBCOG or his or her designee. The Project Manager shall have authority to act on behalf of SBCOG in administering this Contract, including giving notices (including, without limitation, notices of default and/or termination), technical directions and approvals; demanding performance and accepting work performed, but is not authorized to receive or issue payments or execute amendments to the Contract itself.

ARTICLE 2. CONTRACT TERM

The Contract term shall commence upon issuance of a written Notice To Proceed (NTP) issued by SBCTA’s Procurement Analyst, and shall continue in full force and effect through June 30, 2030, until otherwise terminated, or unless extended as hereinafter provided by written amendment, except that all indemnity and defense obligations hereunder shall survive termination of this Contract.

CONSULTANT shall not be compensated for any Services performed or costs incurred prior to issuance of the NTP.

ARTICLE 3. COMPENSATION

3.1 Total compensation to CONSULTANT for full and complete performance of the Scope of Services identified herein and, in compliance with all the terms and conditions of this Contract, shall be on a Time & Materials basis for all obligations incurred in, or application to, CONSULTANT’s performance of Services, and for which CONSULTANT shall furnish all personnel, facilities, equipment, materials, supplies, and Services (except as may be explicitly set forth in this Contract as furnished by SBCOG) shall not exceed the amount set forth in section 3.2 below.

3.2 The total Contract Not-To-Exceed Amount is ________ Dollars ($ ________). All Services provided under this Contract is to be performed as set forth in Exhibit A “Scope of Services”, and shall be reimbursed pursuant to Exhibit B “Price Form”. The hourly labor rates identified in Exhibit B shall remain fixed for the term of this Contract and include CONSULTANT’s direct labor costs, indirect costs, and profit. All expenses shall be reimbursed for the amounts identified in Exhibit B. Any travel expenses must be pre-approved by SBCOG and shall be reimbursed for per diem expenses at a rate not to exceed the currently authorized rates for state employees under the State Department of Personnel Administration rules. SBCOG will not
reimburse CONSULTANT for any expenses not shown in Exhibit B or agreed to and approved by SBCOG as required under this Contract.

3.3 For personnel subject to prevailing wage rates as described in the California Labor Code, all wage increases that are the direct result of changes in the prevailing wage rates, are reimbursable.

3.4 The Cost Principles and Procedures set forth in 48 CFR, Ch. 1, subch. E, Part 31, as constituted on the effective date of this Contract shall be utilized to determine allowability of costs under this Contract and may be modified from time to time by written amendment of the Contract.

3.4.1 CONSULTANT agrees to comply with Federal Department of Transportation procedures in accordance with 2 CFR, Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

3.4.2 Any costs for which payment has been made to CONSULTANT that are determined by subsequent audit to be unallowable under 48 CFR, Ch. 1, subch. E, Part 31, or 2 CFR, Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, shall be repaid by CONSULTANT to SBCOG.

3.5 Any Services provided by CONSULTANT not specifically covered by the Scope of Services shall not be compensated without prior written authorization from SBCOG. It shall be CONSULTANT’s responsibility to recognize and notify SBCOG in writing when services not covered by the Scope of Services have been requested or are required. All changes and/or modifications to the Scope of Services shall be made in accordance with the “CHANGES” Article in this Contract. Any additional services agreed to in accordance with this Contract shall become part of the Services.

3.6 All subcontracts in excess of $25,000 shall contain the above provisions.

ARTICLE 4. INVOICING

4.1 Payment to CONSULTANT as provided herein shall be payable in four (4) week billing period payments, forty-five (45) calendar days after receipt of an acceptable invoice by SBCOG of an invoice prepared in accordance with instructions below. Payment shall not be construed to be an acceptance of Services.

4.2 CONSULTANT shall prepare invoices in a form satisfactory to and approved by SBCOG, which shall be accompanied by documentation supporting each element of measurement and/or cost. Each invoice will be for a four-week billing period and will be marked with SBCOG’S contract number, description and task order number, if applicable. Invoices shall be submitted within fifteen (15) calendar days for the period covered by the invoice except for the month of June, which will require the invoice to be submitted by July 10th. Invoices shall include request for payment for Services (including additional services authorized by SBCOG) completed by CONSULTANT during each billing period and shall include back-up information sufficient to establish the validity of the invoice. Any invoice submitted which fails to comply with the terms of this Contract, including the requirements of form and documentation, may be returned to CONSULTANT. Any costs incurred by CONSULTANT in connection with the resubmission of a proper invoice shall be at CONSULTANT’s sole
expense. The final invoice shall be marked “FINAL” and will be submitted within 60 calendar days after SBCOG has received and approved all Services and deliverables. Invoices should be e-mailed to SBCOG at the following address:

ap@gosbcta.com

4.3 CONSULTANT shall include a statement and release with each invoice, satisfactory to SBCOG, that CONSULTANT has fully performed the Services invoiced pursuant to the Contract for the period covered, that all information included with the invoice is true and correct, and that all payments to and claims of CONSULTANT and its subconsultants for Services during the period will be satisfied upon making of such payment. SBCOG shall not be obligated to make payments to CONSULTANT until CONSULTANT furnishes such statement and release.

4.4 No payment will be made prior to approval of any Services, nor for any Services performed prior to the NTP or the issuance of an applicable CTO, nor for any Services under any amendment to the Contract until SBCOG’s Awarding Authority takes action.

4.5 CONSULTANT agrees to promptly pay each subconsultant for the satisfactory completion of all Services performed under this Contract no later than ten (10) calendar days from the receipt of payment from SBCOG. CONSULTANT also agrees to return any retainage payments to each subconsultant within ten (10) calendar days after the subconsultant’s work is satisfactorily completed. Any delay or postponement of payment from the above-referenced time frame may occur only for good cause following written approval by SBCOG. SBCOG reserves the right to request documentation from CONSULTANT showing payment has been made to its subconsultants. SBCOG also reserves the right, at its own sole discretion, to issue joint checks to CONSULTANT and any subconsultant(s), which shall constitute payment to CONSULTANT in compliance with the terms of this Contract. This clause applies to both DBE and non-DBE subconsultants.

4.6 Any costs for which payment has been made to CONSULTANT that are determined by subsequent audit to be unallowable under 48 CFR, Ch. 1, subch. E, Part 31 are subject to repayment by CONSULTANT to SBCOG.

ARTICLE 5. TAXES, DUTIES AND FEES

Except to the extent expressly provided elsewhere in this Contract, CONSULTANT shall pay when due, and the compensation set forth herein, shall be inclusive of all: a) local, municipal, State, and federal sales and use taxes; b) excise taxes; c) taxes on personal property owned by CONSULTANT; and d) other governmental fees and taxes or charges of whatever nature applicable to CONSULTANT to enable it to conduct business.

ARTICLE 6. AVAILABILITY OF FUNDS

The award and performance of this Contract is contingent on the availability of funds. If funds are not appropriated and/or allocated and available to SBCOG for the continuance of Services performed by CONSULTANT, Services directly or indirectly involved may be suspended or terminated by SBCOG at the end of the period for which funds are available. When SBCOG becomes aware that any portion of Services will or may be affected by a shortage of funds, it will promptly notify
CONSULTANT. Nothing herein shall relieve SBCOG from its obligation to compensate CONSULTANT for work already performed pursuant to this Contract. No penalty shall accrue to SBCOG in the event this provision is exercised.

ARTICLE 7. PERMITS AND LICENSES

CONSULTANT shall, without additional compensation, keep current all governmental permits, certificates and licenses (including professional licenses) and required registrations necessary for CONSULTANT to perform Services identified herein.

ARTICLE 8. DOCUMENTATION AND RIGHT TO AUDIT

8.1 CONSULTANT shall maintain all records related to this Contract in an organized way in the original format, electronic and hard copy, conducive to professional review and audit, for a period of three (3) years from the date of final payment by SBCOG, or until the conclusion of all litigation, appeals or claims related to this Contract, whichever is longer. CONSULTANT shall provide SBCOG, the California State Auditor, or other authorized representatives of SBCOG access to Consultants’ records which are directly related to this Contract for the purpose of inspection, auditing or copying during the entirety of the records maintenance period above. CONSULTANT further agrees to maintain separate records for costs of Services performed by amendment. CONSULTANT shall allow SBCOG and its representatives or agents to reproduce any materials as reasonably necessary.

8.2 The cost proposal and/or invoices for this Contract are subject to audit by SBCOG and/or any state or federal agency funding this Project at any time. After CONSULTANT receives any audit recommendations, the cost or price proposal shall be adjusted by CONSULTANT and approved by SBCOG’s Project Manager to conform to the audit recommendations. CONSULTANT agrees that individual items of cost identified in the audit report may be incorporated into the Contract at SBCOG’s sole discretion. Refusal by CONSULTANT to incorporate the audit or post award recommendations will be considered a breach of the Contract and cause for termination of the Contract. Any dispute concerning the audit findings of this Contract shall be reviewed by SBCTA’s Chief Financial Officer. CONSULTANT may request a review by submitting the request in writing to SBCOG within thirty (30) calendar days after issuance of the audit report.

8.3 Subcontracts in excess of $25,000 shall contain this provision.

ARTICLE 9. RESPONSIBILITY OF CONSULTANT

9.1 CONSULTANT shall be responsible for the professional quality, technical accuracy, and assurance of compliance with all applicable federal, State and local laws and regulations and other Services furnished by CONSULTANT under the Contract. The Contract includes reference to the appropriate standards for performance of Services stipulated in the Contract.

9.2 In addition to any other requirements of this Contract or duties and obligations imposed on CONSULTANT by law, CONSULTANT shall, as an integral part of its Services, employ quality control procedures that identify potential risks and uncertainties related to scope, schedule, cost, quality and safety of the Project and the Services performed by CONSULTANT within the areas of CONSULTANT’s expertise. At any time during
performance of the Scope of Services, should CONSULTANT observe, encounter, or identify any unusual circumstances or uncertainties which could pose potential risk to SBCOG or the Project, CONSULTANT shall immediately document such matters and notify SBCOG in writing. CONSULTANT shall also similarly notify SBCOG in regard to the possibility of any natural catastrophe, or potential failure, or any situation that exceeds assumptions and could precipitate a failure of any part of the Project. Notifications under this paragraph shall be specific, clear and timely, and in a form which will enable SBCOG to understand and evaluate the magnitude and effect of the risk and/or uncertainties involved.

ARTICLE 10. REPORTING AND DELIVERABLES

All reports and deliverables shall be submitted in accordance with Exhibit A “Scope of Services”. At a minimum, CONSULTANT shall submit monthly progress reports with their monthly invoices. The report shall be sufficiently detailed for SBCOG to determine if CONSULTANT is performing to expectations and is on schedule; to provide communication of interim findings; and to sufficiently address any difficulties or problems encountered, so remedies can be developed.

ARTICLE 11. TECHNICAL DIRECTION

11.1 Performance of Services under this Contract shall be subject to the technical direction of SBCOG’s Project Manager, identified in Section 1.2, upon issuance of the NTP and/or subsequently by written notice during the Contract. The term “Technical Direction” is defined to include, without limitation:

11.1.1 Directions to CONSULTANT which redirect the Contract effort, shift work emphasis between work areas or tasks, require pursuit of certain lines of inquiry, fill in details, or otherwise serve to accomplish the Scope of Services.

11.1.2 Provision of written information to CONSULTANT which assists in the interpretation of reports or technical portions of the Scope of Services described herein.

11.1.3 Review and, where required by the Contract, approval of technical reports and technical information to be delivered by CONSULTANT to SBCOG under the Contract.

11.1.4 SBCOG’s Project Manager may modify this Contract for certain administrative modifications without issuing a written amendment. Administrative modifications are limited to: substitutions of personnel identified in the Contract, including Key Personnel and subconsultants; modifications to classifications, hourly rates and names of personnel in Exhibit B; and modifications of the address of the CONSULTANT. All such modifications will be documented in writing between the Parties.

11.2 Technical Direction must be within the Scope of Services under this Contract. SBCOG’s Project Manager does not have the authority to, and may not, issue any Technical Direction which:

11.2.1 Increases or decreases the Scope of Services;

11.2.2 Directs CONSULTANT to perform Services outside the original intent of the Scope of Services;
11.2.3 Constitutes a change as defined in the “CHANGES” Article of the Contract;
11.2.4 In any manner causes an increase or decrease in the Contract price as identified in the “COMPENSATION” Article or the time required for Contract performance;
11.2.5 Changes any of the expressed terms, conditions or specifications of the Contract, unless identified herein;
11.2.6 Interferes with CONSULTANT’s right to perform the terms and conditions of the Contract unless identified herein; or
11.2.7 Approves any demand or claims for additional payment.

11.3 Failure of CONSULTANT and SBCOG’s Project Manager to agree that the Technical Direction is within the scope of the Contract, or a failure to agree upon the Contract action to be taken with respect thereto, shall be subject to the provisions of the “DISPUTES” Article herein.

11.4 All Technical Direction shall be issued in writing by SBCOG’s Project Manager.

11.5 CONSULTANT shall proceed promptly with the performance of Technical Direction issued by SBCOG’s Project Manager, in the manner prescribed by this Article and within their authority under the provisions of this Article. If, in the opinion of CONSULTANT, any instruction or direction by SBCOG’s Project Manager falls within one of the categories defined in sections 11.2.1 through 11.2.7 above, CONSULTANT shall not proceed but shall notify SBCOG in writing within five (5) working days after receipt of any such instruction or direction and shall request SBCOG to modify the Contract accordingly. Upon receiving the notification from CONSULTANT, SBCOG shall:

11.5.1 Advise CONSULTANT in writing within thirty (30) calendar days after receipt of CONSULTANT’s letter that the Technical Direction is or is not within the scope of this Contract.

11.5.2 Advise CONSULTANT within a reasonable time whether SBCOG will or will not issue a written amendment.

ARTICLE 12. CHANGES

12.1 The Services shall be subject to changes by additions, deletions, or revisions made by SBCOG. CONSULTANT will be advised of any such changes by written notification from SBCOG describing the change. This notification will not be binding on SBCOG until SBCOG’s Awarding Authority has approved an amendment to this Contract.

12.2 Promptly after such written notification of change is given to CONSULTANT by SBCOG, the Parties will attempt to negotiate a mutually agreeable adjustment to compensation or time of performance, and amend the Contract accordingly.

ARTICLE 13. EQUAL EMPLOYMENT OPPORTUNITY

13.1 During the term of this Contract, CONSULTANT shall not willfully discriminate against any employee or applicant for employment because of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic information, gender,
sex, marital status, gender identity, gender expression, sexual orientation, age, or military and veteran status. CONSULTANT agrees to comply with the provisions of Executive Orders 11246, 11375, 11625, 12138, 12432, 12250, Title VII of the Civil Rights Act of 1964, the California Fair Employment and Housing Act, and other applicable Federal, State and County laws and regulations and policies relating to equal employment and contracting opportunities, including laws and regulations hereafter enacted.

13.2 The CONSULTANT and all subconsultants shall comply with all provisions of Title VI of the Civil Rights Act of 1964, as amended, which prohibits discrimination on the basis of race, color, and national origin. In addition, CONSULTANT and all subconsultants will ensure their services are consistent with and comply with obligations and procedures outlined in SBCOG’s current Board-adopted Title VI Program, including the Public Participation Plan and the Language Assistance Plan.

ARTICLE 14. CONFLICT OF INTEREST

CONSULTANT agrees that it presently has no interest, financial or otherwise, and shall not acquire any interest, direct or indirect, which would conflict in any manner or degree with the performance of Services required under this Contract or be contrary to the interests of SBCOG as to the Project. CONSULTANT further agrees that in the performance of this Contract, no person having any such interest shall be employed. CONSULTANT is obligated to fully disclose to SBCOG, in writing, any conflict of interest issues as soon as they are known to CONSULTANT. CONSULTANT agrees that CONSULTANT’s staff designated by SBCOG’s Executive Director as “Consultants” under the Political Reform Act shall timely file Statements of Economic Interest with the SBCOG Clerk of the Board.

ARTICLE 15. KEY PERSONNEL

The personnel specified below are considered to be essential to the Services being performed under this Contract. Prior to diverting any of the specified individuals to other projects, or reallocation of any tasks or hours of Services that are the responsibility of key personnel to other personnel, CONSULTANT shall notify SBCOG in writing in advance and shall submit justifications (including proposed substitutions, resumes and payroll information to support any changes to the labor rates) in sufficient detail to permit evaluation of the impact on the Project. Diversion or reallocation of key personnel shall not be made without prior written consent of SBCOG’s PM. CONSULTANT shall not substitute any key personnel without the prior written consent of SBCOG. In the event that the Parties cannot agree as to the substitution of key personnel, SBCOG may terminate this Contract. Key Personnel are:

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ARTICLE 16. REPRESENTATIONS

All Services supplied by CONSULTANT under this Contract shall be supplied by personnel who are qualified, careful, skilled, experienced and competent in their respective trades or professions. CONSULTANT agrees that they are supplying professional services, findings, and/or
recommendations in the performance of this Contract and agrees with SBCOG that the same shall conform to professional standards that are generally accepted in the profession in the State of California.

ARTICLE 17. PROPRIETARY RIGHTS/CONFIDENTIALITY

17.1 If, as a part of this Contract, CONSULTANT is required to produce materials, documents data, or information (“Products”), then CONSULTANT, if requested by SBCOG, shall deliver to SBCOG the original of all such Products, which shall become the sole property of SBCOG.

17.2 All materials, documents, data or information obtained from SBCOG's data files or any SBCOG-owned medium furnished to CONSULTANT in the performance of this Contract will at all times remain the property of SBCOG. Such data or information may not be used or copied for direct or indirect use outside of this Project by CONSULTANT without the express written consent of SBCOG.

17.3 Except as reasonably necessary for the performance of the Services, CONSULTANT agrees that it, its employees, agents and subconsultants will hold in confidence and not divulge to third parties, without prior written consent of SBCOG, any information obtained by CONSULTANT from or through SBCOG unless (a) the information was known to CONSULTANT prior to obtaining same from SBCOG, or (b) the information was at the time of disclosure to CONSULTANT, or thereafter becomes, part of the public domain, but not as a result of the fault or an unauthorized disclosure of CONSULTANT or its employees, agents, or subconsultants, or (c) the information was obtained by CONSULTANT from a third party who did not receive the same, directly or indirectly, from SBCOG and who had, to CONSULTANT's knowledge and belief, the right to disclose the same. Any materials and information referred to in this Article which are produced by CONSULTANT shall remain confidential until released in writing by SBCOG, except to the extent such materials and information become subject to disclosure by SBCOG under the California Public Records Act, or other law, or otherwise become public information through no fault of CONSULTANT, or its employees or agents.

17.4 CONSULTANT shall not use SBCOG's name or photographs in any professional publication, magazine, trade paper, newspaper, seminar or other medium without first receiving the express written consent of SBCOG.

17.5 All press releases, or press inquiries relating to the Project or this Contract, including graphic display information to be published in newspapers, magazines, and other publications, are to be made only by SBCOG unless otherwise agreed to in writing by both Parties.

ARTICLE 18. TERMINATION

18.1 Termination for Convenience - SBCOG shall have the right at any time, with or without cause, to terminate further performance of Services by giving thirty (30) calendar days written notice to CONSULTANT specifying the date of termination. On the date of such termination stated in said notice, CONSULTANT shall promptly discontinue performance of Services and shall preserve work in progress and completed work, pending SBCOG’s instruction, and shall turn over such work in accordance with SBCOG’s instructions.
18.1.1 CONSULTANT shall deliver to SBCOG all deliverables prepared by CONSULTANT or its subconsultants or furnished to CONSULTANT by SBCOG. Upon such delivery, CONSULTANT may then invoice SBCOG for payment in accordance with the terms herein.

18.1.2 If CONSULTANT has fully and completely performed all obligations under this Contract up to the date of termination, CONSULTANT shall be entitled to receive from SBCOG as complete and full settlement for such termination a pro rata share of the Contract cost based upon the percentage of all contracted Services satisfactorily executed to the date of termination.

18.1.3 CONSULTANT shall be entitled to receive the actual costs incurred by CONSULTANT to return CONSULTANT’s tools and equipment, if any, to it or its suppliers’ premises, or to turn over work in progress in accordance with SBCOG’s instructions plus the actual cost necessarily incurred in effecting the termination.

18.2 Termination for Cause - In the event CONSULTANT shall file a petition in bankruptcy court, or shall make a general assignment for the benefit of its creditors, or if a petition in bankruptcy court shall be filed against CONSULTANT, or a receiver shall be appointed on account of its insolvency, or if CONSULTANT shall default in the performance of any express obligation to be performed by it under this Contract and shall fail to immediately correct (or if immediate correction is not possible, shall fail to commence and diligently continue action to correct) such default within ten (10) calendar days following written notice, SBCOG may, without prejudice to any other rights or remedies SBCOG may have, and in compliance with applicable Bankruptcy Laws: (a) hold in abeyance further payments to CONSULTANT; (b) stop any Services of CONSULTANT or its subconsultants related to such failure until such failure is remedied; and/or (c) terminate this Contract by written notice to CONSULTANT specifying the date of termination. In the event of such termination by SBCOG, SBCOG may take possession of the deliverables and finished Services by whatever method SBCOG may deem expedient. A waiver by SBCOG of one default of CONSULTANT shall not be considered to be a waiver of any subsequent default of CONSULTANT, of the same or any other provision, nor be deemed to waive, amend, or modify any term of this Contract.

18.2.1 CONSULTANT shall deliver to SBCOG all finished and unfinished deliverables under this Contract prepared by CONSULTANT or its subconsultants or furnished to CONSULTANT by SBCOG within ten (10) working days of said notice.

18.3 All claims for compensation or reimbursement of costs under any of the foregoing provisions shall be supported by documentation submitted to SBCOG, satisfactory in form and content to SBCOG and verified by SBCOG. In no event shall CONSULTANT be entitled to any payment for prospective profits or any damages because of such termination.

ARTICLE 19. STOP WORK ORDER

Upon failure of CONSULTANT or its subconsultants to comply with any of the requirements of this Contract, SBCOG shall have the right to stop any or all Services affected by such failure until such failure is remedied or to terminate this Contract in accordance with section “Termination For Cause” above.
ARTICLE 20. CLAIMS

SBCOG shall not be bound to any adjustments in the Contract amount or schedule unless expressly agreed to by SBCOG in writing. SBCOG shall not be liable to CONSULTANT for any claim asserted by CONSULTANT after final payment has been made under this Contract.

ARTICLE 21. INSURANCE

21.1 Prior to commencing the Services, subject to the provisions of Article 21.2 “General Provisions”, and at all times during the performance of the Services and for such additional periods as required herein, CONSULTANT and all sub-consultants of every tier performing any Services under this contract shall, at CONSULTANT’s and sub-consultant's sole expense, procure and maintain broad form insurance coverage at least as broad as the following minimum requirements specified below:

21.1.1 Professional Liability. The policies must include the following:

- A limit of liability not less than $3,000,000 per claim
- An annual aggregate limit of not less than $9,000,000
- Coverage shall be appropriate for the CONSULTANT’s profession and provided services to include coverage for errors and omissions arising out of the CONSULTANT’s professional services, or services of any person employed by the CONSULTANT, or any person for whose acts, errors, mistakes or omissions the CONSULTANT may be legally liable.

- If Coverage is on a claims made basis:
  - Policy shall contain a retroactive date for coverage of prior acts, which date will be prior to the date the CONSULTANT begins to perform Services under this Contract.
  - CONSULTANT shall secure and maintain “tail” coverage for a minimum of three (3) years after Contract completion.

21.1.2 Cyber Liability coverage in an amount sufficient to cover the full replacement value of damage to, alteration of, loss of, or destruction of electronic data and/or information "property" of the Agency that will be in the care, custody, or control of CONSULTANT.

The Insurance obligations under this agreement shall be the greater of 1- all the Insurance coverage and limits carried by or available to the CONSULTANT; or 2- the minimum Insurance requirements shown in this agreement. Any insurance proceeds in excess of the specified limits and coverage required, which are applicable to a given loss, shall be available to Agency. No representation is made that the minimum Insurance requirements of this agreement are sufficient to cover the indemnity or other obligations of the CONSULTANT under this agreement.

Cyber Liability Insurance, with limits not less than $2,000,000 per occurrence or claim, $2,000,000 aggregate. Coverage shall be sufficiently broad to respond to the duties and obligations as is undertaken by CONSULTANT in this agreement and shall include, but not be limited to, claims involving infringement of intellectual property, including but not
limited to infringement of copyright, trademark, trade dress, invasion of privacy violations, information theft, damage to or destruction of electronic information, release of private information, alteration of electronic information, extortion and network security. The policy shall provide coverage for breach response costs as well as regulatory fines and penalties and credit monitoring expenses with limits sufficient to respond to these obligations.

21.1.3 Worker’s Compensation/Employer’s Liability. The policies must include the following:

- Coverage A. Statutory Benefits
- Coverage B. Employer’s Liability
- Bodily Injury by accident - $1,000,000 per accident
- Bodily Injury by disease - $1,000,000 policy limit/$1,000,000 each employee

Such policies shall contain a waiver of subrogation in favor of the parties named as Indemnitees below. Such insurance shall be in strict accordance with the applicable workers’ compensation laws in effect during performance of the Services by CONSULTANT or any subconsultant of any tier. All subconsultants of any tier performing any portion of the Services for CONSULTANT shall also obtain and maintain the same insurance coverage as specified in this subparagraph, with a waiver of subrogation in favor of CONSULTANT and all parties named as Indemnitees below. Where coverage is provided through the California State Compensation Insurance Fund, the requirement for a minimum A.M. Best rating does not apply.

21.1.4 Commercial General Liability. The policy must include the following:

- Consultant shall maintain commercial general liability (CGL) insurance (Insurance Services Office (ISO) Form CG 00 01), and if necessary excess/umbrella commercial liability insurance, with a combined limit of liability of not less than $7,000,000 each occurrence. If the contract value is equal to or in excess of $25,000,000, then the combined limit of liability shall be no less than $25,000,000 each occurrence.

- The policy shall, at a minimum, include coverage for any and all of the following: bodily injury, property damage, personal injury, broad form contractual liability (including coverage to the maximum extent possible for the indemnifications in this Contract), premises-operations (including explosion, collapse and underground coverage), duty to defend in addition to (without reducing) the limits of the policy(ies), and products and completed operations.
  - $2,000,000 per occurrence limit for property damage or bodily injury
  - $1,000,000 per occurrence limit for personal injury and advertising injury
  - $2,000,000 per occurrence limits for products/completed operations coverage (ISO Form 20 37 10 01) if SBCTA’s Risk Manager determines it is in SBCOG’s best interests to require such coverage,
If a general aggregate applies, it shall apply separately to this project/location. The project name must be indicated under “Description of Operations/Locations” (ISO Form CG 25 03 or CG 2504).

- Coverage is to be on an “occurrence” form. “Claims made” and “modified occurrence” forms are not acceptable.
- A copy of the declaration page or endorsement page listing all policy endorsements for the CGL policy must be included.

All subconsultants of any tier performing any portion of the Services for CONSULTANT shall also obtain and maintain the CGL insurance coverage with limits not less than:

- Each occurrence limit: $1,000,000
- General aggregate limit: $2,000,000
- Personal injury and advertising limit $1,000,000
- Products-completed operations aggregate limit $2,000,000

All subconsultants’ and sub-subconsultants’ deductibles or self-insured retentions must be acceptable to SBCTA’s Risk Manager.

21.1.5 Umbrella/Excess CGL. The policy must include the following:

- If the CONSULTANT elects to include an umbrella or excess policy to cover any of the total limits required beyond the primary commercial general liability policy limits and/or the primary commercial automobile liability policy limits, then the policy must include the following:
  
  o The umbrella or excess policy shall follow form over the CONSULTANT’s primary general liability coverage and shall provide a separate aggregate limit for products and completed operations coverage.
  
  o The umbrella or excess policy shall not contain any restrictions or exclusions beyond what is contained in the primary policy.
  
  o The umbrella or excess policy shall contain a clause stating that it takes effect (drops down) in the event the primary limits are impaired or exhausted.
  
  o The umbrella or excess policy must also extend coverage over the automobile policy if it is to be used in combination with the primary automobile policy to meet the total insurance requirement limits.

There shall be no statement limiting the coverage provided to the parties listed as additionally insureds or as indemnitees below.

21.1.6 Commercial Auto. The policy must include the following:

- A total limit of liability of not less than $5,000,000 each accident. The total limits of liability may be met by combining the limits of the primary auto policy with an umbrella or excess policy in accordance with subparagraph 4 (Umbrella/Excess CGL) of Section A of this Article.
• Such insurance shall cover liability arising out of any vehicle, including owned, hired, leased, borrowed and non-owned vehicles assigned to or used in performance of the CONSULTANT services.
  
  o Combined Bodily Injury and Property Damage Liability insurance.

  The commercial automobile liability insurance shall be written on the most recent edition of ISO Form CA 00 01 or equivalent acceptable to SBCOG.

21.1.7 Pollution Liability – \textbf{INTENTIONALLY OMITTED}

21.1.8 Railroad Protective Liability - \textbf{INTENTIONALLY OMITTED}

21.2 General Provisions

21.2.1 Qualifications of Insurance Carriers. All policies written by insurance carriers shall be authorized and admitted to do business in the state of California with a current A.M. Best rating of A-VIII or better. Professional Liability and Contractor’s Pollution Liability policies may be from non-admitted carriers provided they are authorized and licensed in the state of California and meet the current A.M. Best rating of A: VIII or better.

21.2.2 Additional Insurance Coverage. All policies, except those for Workers’ Compensation and Professional Liability insurance, shall be endorsed by ISO Form CG 20 10 11 85, or if not available, then ISO Form CG 20 38, to name San Bernardino Associated Governments and its officers, directors, members, employees, agents and volunteers, as additional insureds (“Additional Insureds”). With respect to general liability arising out of or connected with work or operations performed by or on behalf of the CONSULTANT under this Contract, coverage for such Additional Insureds shall not extend to liability to the extent prohibited by section 11580.04 of the Insurance Code. The additional insured endorsements shall not limit the scope of coverage for SBCOG to vicarious liability, but shall allow coverage for SBCOG to the full extent provided by the policy.

21.2.3 Proof of Coverage. Evidence of insurance in a form acceptable to SBCTA’s Risk Manager, including declarations pages of each policy, certificates of insurance and the required additional insured endorsements, shall be provided to SBCTA’s Procurement Analyst prior to issuance of the NTP or prior to commencing any Services, as SBCOG specifies. Certificate(s) of insurance, as evidence of the required insurance shall: be executed by a duly authorized representative of each insurer; show compliance with the insurance requirements set forth in this Article; set forth deductible amounts applicable to each policy; list all exclusions which are added by endorsement to each policy; and also include the Contract Number and the SBCOG Project Manager’s name on the face of the certificate. If requested in writing by SBCOG, CONSULTANT shall submit complete copies of all required insurance policies within ten (10) business days of a written request by SBCOG.

21.2.4 Deductibles. Regardless of the allowance of exclusions or deductibles by SBCOG, CONSULTANT shall be responsible for any deductible amount and shall warrant that
the coverage provided to SBCOG is consistent with the requirements of this Article. CONSULTANT will pay, and shall require its sub-consultants to pay, all deductibles, co-pay obligations, premiums and any other sums due under the insurance required in this Article. All deductibles will be in amounts acceptable to SBCTA’s Risk Manager. CONSULTANT will advise SBCOG in writing as to the amounts of any deductible, or as to any increase in any insurance deductible under any insurance required above. There will be no deductibles in excess of $250,000 per occurrence, loss or claim under the insurance. There shall be no self-insured retention. SBCOG will have the right, but not the obligation, to pay any deductible due under any insurance policy. If SBCOG pays any sums due under any insurance required above, SBCOG may withhold said sums from any amounts due CONSULTANT. The policies shall not provide that any deductible or other payment required under the policy can be paid only by the named insured, and not by an additional insured.

21.2.5 CONSULTANT’s and Subconsultants’ Insurance will be Primary. All policies required to be maintained by the CONSULTANT or any subconsultant, with the exceptions of Professional Liability and Worker’s Compensation, shall be endorsed, with a form at least as broad as ISO Form CG 20 01 04 13, to be primary coverage, and any coverage carried by any of the Additional Insureds shall be excess and noncontributory. Further, none of CONSULTANT’s or subconsultants’ pollution, automobile, general liability or other liability policies (primary or excess) will contain any cross-liability exclusion barring coverage for claims by an additional insured against a named insured.

21.2.6 Waiver of Subrogation Rights. To the fullest extent permitted by law, CONSULTANT hereby waives all rights of recovery under subrogation against the Additional Insureds named herein, and any other consultant, subconsultant or sub-subconsultant performing work or rendering services on behalf of SBCOG in connection with the planning, development and construction of the Project. To the fullest extent permitted by law, CONSULTANT shall require similar written express waivers and insurance clauses from each of its subconsultants of every tier. CONSULTANT shall require all of the policies and coverages required in this Article to waive all rights of subrogation against the Additional Insureds (ISO Form CG 24 04 05 09). Such insurance and coverages provided shall not prohibit CONSULTANT from waiving the right of subrogation prior to a loss or claim.

21.2.7 Cancellation. If any insurance company elects to cancel or non-renew coverage for any reason, CONSULTANT will provide SBCOG thirty (30) days prior written notice of such cancellation or nonrenewal. If the policy is cancelled for nonpayment of premium, CONSULTANT will provide SBCOG ten (10) days prior written notice. In any event, CONSULTANT will provide SBCOG with a copy of any notice of termination or notice of any other change to any insurance coverage required herein which CONSULTANT receives within one business day after CONSULTANT receives it by submitting it to SBCOG at procurement@gosbeta.com, to the attention
of SBCTA’s Procurement Analyst, and by depositing a copy of the notice in the U.S. Mail in accordance with the notice provisions of this Contract.

21.2.8 **Enforcement.** SBCOG may take any steps as are necessary to assure CONSULTANT’s compliance with its insurance obligations as identified within this Article. Failure to continuously maintain insurance coverage as provided herein is a material breach of contract. In the event the CONSULTANT fails to obtain or maintain any insurance coverage required, SBCOG may, but is not required to, maintain this coverage and charge the expense to the CONSULTANT or withhold such expense from amounts owed CONSULTANT, or terminate this Contract. The insurance required or provided shall in no way limit or relieve CONSULTANT of its duties and responsibilities under the Contract, including but not limited to, obligations to indemnify, defend and hold harmless the Indemnitees named below. Insurance coverage in the minimum amounts set forth herein shall not be construed to relieve CONSULTANT for liability in excess of such coverage, nor shall it preclude SBCOG from taking other actions as available to it under any other provision of the Contract or law. Nothing contained herein shall relieve CONSULTANT, or any subconsultant of any tier of their obligations to exercise due care in the performance of their duties in connection with the Services, and to complete the Services in strict compliance with the Contract.

21.2.9 **No Waiver.** Failure of SBCOG to enforce in a timely manner any of the provisions of this Article shall not act as a waiver to enforcement of any of these provisions at a later date.

21.2.10 **Subconsultant Insurance.** Insurance required of the CONSULTANT shall be also provided by subconsultants or by CONSULTANT on behalf of all subconsultants to cover their services performed under this Contract. CONSULTANT may reduce types and the amounts of insurance limits provided by subconsultant(s) to be proportionate to the amount of the subconsultant’s contract and the level of liability exposure for the specific type of work performed by the subconsultant. CONSULTANT shall be held responsible for all modifications, deviations, or omissions in these insurance requirements as they apply to subconsultant.

21.2.11 **Higher limits.** If CONSULTANT maintains higher limits than the minimums shown above, SBCOG shall be entitled to coverage for the higher limits maintained by CONSULTANT. Any available insurance proceeds in excess of the specified minimum limits of insurance and coverage shall be available to SBCOG.

21.2.12 **Special Risks or Circumstances.** SBCOG reserves the right to modify any or all of the above insurance requirements, including limits, based on the nature of the risk, prior experience, insurer, coverage, or other special circumstances.

**ARTICLE 22. INDEMNITY**

22.1 To the extent, but only to the extent, that CONSULTANT’s Services falls within the scope of Civil Code Section 2782.8, the following indemnification is applicable:
CONSULTANT shall indemnify and defend (with legal counsel reasonably approved by SBCOG) SBCOG, and its authorized officers, employees, agents and volunteers (collectively “Indemnitees”), from any and all losses, damages, liability, actions, and/or costs for claims that arise out of, pertain to, or are related to the negligence, recklessness, or willful misconduct of the professional.

22.2 For all other Services, CONSULTANT agrees to indemnify, defend (with legal counsel reasonably approved by SBCOG) and hold harmless SBCOG and its authorized officers, employees, agents and volunteers (“Indemnitees”), from any and all claims, actions, losses, damages and/or liability (Claims) arising out of this Contract from any cause whatsoever, including acts, errors, or omissions of any person and for any costs or expenses incurred by SBCOG on account of any claim, except where such indemnification is prohibited by law. This indemnification provision shall apply regardless of the existence or degree of fault of Indemnitees. CONSULTANT’s indemnification obligation applies to SBCOG’s “active” as well as “passive” negligence, but does not apply to SBCOG’s “sole negligence” or “willful misconduct” within the meaning of Civil Code section 2782.

ARTICLE 23. ERRORS AND OMISSIONS

CONSULTANT shall be responsible for the professional quality, technical accuracy, and coordination of all Services required under this Contract. CONSULTANT shall be liable for SBCOG’s costs resulting from errors or deficiencies in Services furnished under this Contract, including, but not limited to, any fines, penalties, damages, and costs required because of an error or deficiency in the Services provided by CONSULTANT under this Contract.

ARTICLE 24. OWNERSHIP OF DOCUMENTS

All deliverables, including but not limited to, drawings, reports, worksheets, and other data developed by CONSULTANT under this Contract shall become the sole property of SBCOG when prepared, whether delivered to SBCOG or not.

ARTICLE 25. SUBCONTRACTS

25.1 CONSULTANT shall not subcontract performance of all or any portion of Services under this Contract, except to those subconsultants listed in CONSULTANT’s proposal, without first notifying SBCOG in writing of the intended subcontracting and obtaining SBCOG’s written approval of the subcontracting and the subconsultant. The definition of subconsultant and the requirements for subconsultants hereunder shall include all subcontracts at any tier.

25.2 CONSULTANT agrees that any and all subconsultants of CONSULTANT performing Services under this Contract will comply with the terms and conditions of this Contract applicable to the portion of Services performed by them. CONSULTANT shall incorporate all applicable provisions of this Contract into their subcontracts regardless of the tier. If requested by SBCOG, CONSULTANT shall furnish SBCOG a copy of the proposed subcontract for SBCOG’s approval of the terms and conditions thereof and shall not execute such subcontract until SBCOG has approved such terms and conditions. SBCOG’s approval shall not be unreasonably withheld.
25.3 Approval by SBCOG of any Services to be subcontracted and the subconsultant to perform said Services will not relieve CONSULTANT of any responsibility or liability in regard to the acceptable and complete performance of said Services. Any substitution of subconsultants must be approved in writing by SBCOG. CONSULTANT shall have sole responsibility for managing all of their subconsultants, including resolution of any disputes between CONSULTANT and its subconsultants.

ARTICLE 26. RECORD INSPECTION AND AUDITING

SBCOG or any of its designees, representatives, or agents shall at all times have access during normal business hours to CONSULTANT’s operations and products wherever they are in preparation or progress, and CONSULTANT shall provide sufficient, safe, and proper facilities for such access and inspection thereof. Inspection or lack of inspection by SBCOG shall not be deemed to be a waiver of any of their rights to require CONSULTANT to comply with the Contract or to subsequently reject unsatisfactory Services or products.

ARTICLE 27. INDEPENDENT CONTRACTOR

CONSULTANT is and shall be at all times an independent contractor. Accordingly, all Services provided by CONSULTANT shall be done and performed by CONSULTANT under the sole supervision, direction and control of CONSULTANT. SBCOG shall rely on CONSULTANT for results only, and shall have no right at any time to direct or supervise CONSULTANT or CONSULTANT's employees in the performance of Services or as to the manner, means and methods by which Services is performed. All personnel furnished by CONSULTANT pursuant to this Contract, and all representatives of CONSULTANT, shall be and remain the employees or agents of CONSULTANT or of CONSULTANT's subconsultant(s) at all times, and shall not at any time or for any purpose whatsoever be considered employees or agents of SBCOG.

ARTICLE 28. ATTORNEY’S FEES

If any legal action is instituted to enforce or declare any Party’s rights under the Contract, each Party, including the prevailing Party, must bear its own costs and attorneys’ fees. This Article shall not apply to those costs and attorneys’ fees directly arising from any third party legal action against a Party hereto and payable under the “Indemnity” provision of the Contract.

ARTICLE 29. GOVERNING LAW AND VENUE

This Contract shall be subject to the law and jurisdiction of the State of California. The Parties acknowledge and agree that this Contract was entered into and intended to be performed in whole or substantial part in San Bernardino County, California. The Parties agree that the venue for any action or claim brought by any Party to this Contract will be the Superior Court of California, San Bernardino County. Each Party hereby waives any law or rule of court which would allow them to request or demand a change of venue. If any action or claim concerning this Contract is brought by any third party, the Parties hereto agree to use their best efforts to obtain a change of venue to the Superior Court of California, San Bernardino County.

ARTICLE 30. FEDERAL, STATE AND LOCAL LAWS

CONSULTANT warrants that in the performance of this Contract, it shall comply with all applicable federal, State and local laws, ordinances, rules and regulations.
ARTICLE 31. PRECEDENCE

31.1 The Contract consists of these Contract Articles, Exhibit A “Scope of Services”, Exhibit B “Price Proposal”, SBCOG’s Request for Proposal and CONSULTANT’s proposal, all of which are incorporated into this Contract by this reference.

31.2 The following order of precedence shall apply: first, the Contract Articles; second, Exhibits A and B; third, SBCOG’s Request for Proposal; and last, CONSULTANT’s proposal. In the event of a conflict between the Contract Articles and the Scope of Services, the Contract Articles will prevail.

31.3 In the event of an express conflict between the documents listed in this Article, or between any other documents which are a part of the Contract, CONSULTANT shall notify SBCOG in writing within three (3) business days of its discovery of the conflict and shall comply with SBCOG’s resolution of the conflict.

ARTICLE 32. COMMUNICATIONS AND NOTICES

Notices sent by mail shall be by United States Mail, postage paid, certified mail (return receipt requested). Any and all notices permitted or required to be given hereunder shall be deemed duly given and received: (a) upon actual delivery, if delivery is personally made or if made by fax or email during regular business hours; (b) the first business day following delivery by fax or email when not made during regular business hours; or (c) on the fourth business day after deposit of such notice into the United States Mail. Each such notice shall be sent to the respective Party at the address indicated below or to any other address as the respective Parties may designate from time to time by a notice given in accordance with this Article. CONSULTANT shall notify SBCOG of any contact information changes within ten (10) business days of the change.

<table>
<thead>
<tr>
<th>To: CONSULTANT</th>
<th>To: San Bernardino Associated Government</th>
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<tbody>
<tr>
<td>1170 W. 3rd Street, 2nd Floor</td>
<td>San Bernardino, CA 92410-1715</td>
</tr>
<tr>
<td>Attn:</td>
<td>Attn: Duane Baker</td>
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<tr>
<td>Email:</td>
<td>Email: <a href="mailto:dbaker@gosbcta.com">dbaker@gosbcta.com</a></td>
</tr>
<tr>
<td>Phone:</td>
<td>Phone: (909) 884-8276</td>
</tr>
<tr>
<td>2nd Contact:</td>
<td>Copy: Procurement Manager</td>
</tr>
<tr>
<td>Email:</td>
<td>Email: <a href="mailto:procurement@gosbcta.com">procurement@gosbcta.com</a></td>
</tr>
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ARTICLE 33. DISPUTES

33.1 In the event any dispute, other than an audit, arises between the Parties in connection with this Contract (including but not limited to disputes over payments, reimbursements, costs, expenses, Services to be performed, Scope of Services and/or time of performance), the dispute shall be decided by SBCTA’s Procurement Manager within thirty (30) calendar days after notice thereof in writing, which notice shall include a particular statement of the grounds of the dispute. If CONSULTANT does not agree with the decision, then CONSULTANT shall have ten (10) calendar days after receipt of the decision in which to file a written appeal thereto with SBCTA’s Executive Director. If the Executive Director fails to resolve the dispute in a
manner acceptable to CONSULTANT, then such dispute may be reviewed by a court of competent jurisdiction.

33.2 During resolution of the dispute, CONSULTANT shall proceed with performance of this Contract with due diligence.

ARTICLE 34. GRATUITIES
CONSULTANT, its employees, agents, or representatives shall not offer or give to any officer, official, agent or employee of SBCOG, any gift, entertainment, payment, loan, or other gratuity.

ARTICLE 35. REVIEW AND ACCEPTANCE
All Services performed by CONSULTANT shall be subject to periodic review and approval by SBCOG at any and all places where such performance may be carried on. Failure of SBCOG to make such review or to discover defective work shall not prejudice the rights of SBCOG at the time of final acceptance. All Services performed by CONSULTANT shall be subject to periodic and final review and acceptance by SBCOG upon completion of all Services.

ARTICLE 36. CONFIDENTIALITY
Any SBCOG communications or materials to which CONSULTANT or its subconsultants or agents have access, or materials prepared by CONSULTANT under the terms of this Contract, shall be held in confidence by CONSULTANT, who shall exercise reasonable precautions to prevent the disclosure of confidential information to anyone except as expressly authorized by SBCOG. Any communications with or work product of SBCOG’s legal counsel to which CONSULTANT or its subconsultants or agents have access in performing work under this Contract shall be subject to the attorney-client privilege and attorney work product doctrine and shall be confidential. CONSULTANT shall not release any reports, information or promotional material or allow for the use of any photos related to this Contract for any purpose without prior written approval of SBCOG.

ARTICLE 37. EVALUATION OF CONSULTANT
CONSULTANT’s performance may be evaluated by SBCOG periodically throughout the Contract performance period, such as at the completion of certain milestones as identified in Scope of Services and/or at the completion of the Contract. A copy of the evaluation will be given to CONSULTANT for their information. The evaluation information shall be retained as part of the Contract file and may be used to evaluate CONSULTANT if they submit a proposal on a future RFP issued by SBCOG.

ARTICLE 38. SAFETY
CONSULTANT shall strictly comply with OSHA regulations and local, municipal, state, and federal safety and health laws, orders and regulations applicable to CONSULTANT’s operations in the performance of Services under this Contract. CONSULTANT shall comply with all safety instructions issued by SBCOG or their representatives.

ARTICLE 39. DRUG FREE WORKPLACE
CONSULTANT agrees to comply with the Drug Free Workplace Act of 1990 per Government Code section 8350 et seq.
ARTICLE 40. ASSIGNMENT
CONSULTANT shall not assign this Contract in whole or in part, voluntarily, by operation of law, or otherwise, without first obtaining the written consent of SBCOG. SBCOG’s exercise of consent shall be within its sole discretion. Any purported assignment without SBCOG’s prior written consent shall be void and of no effect, and shall constitute a material breach of this Contract. Subject to the foregoing, the provisions of this Contract shall extend to the benefit of and be binding upon the successors and assigns of the Parties.

ARTICLE 41. AMENDMENTS
The Contract may only be changed by a written amendment duly executed by the Parties. Services authorized under an amendment shall not commence until the amendment has been duly executed.

ARTICLE 42. PREVAILING WAGES – INTENTIONALLY OMITTED

ARTICLE 43. CONTINGENT FEE
CONSULTANT warrants by execution of this Contract, that no person or selling agency has been employed or retained to solicit or secure this Contract upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee, excepting bona fide employees or bona fide established commercial or selling agencies maintained by CONSULTANT for the purpose of securing business. For breach or violation of this warranty, SBCOG has the right to terminate this Contract without liability, pay only for the value of the Services actually performed, or, in its discretion, to deduct from the contract price or consideration, or otherwise recover, the full amount of such commission, percentage, brokerage, or contingent fee.

ARTICLE 44. FORCE MAJEURE
CONSULTANT shall not be in default under this Contract in the event that the Services performed by CONSULTANT are temporarily interrupted or discontinued for any of the following reasons: riots, wars, sabotage, acts of terrorism, civil disturbances, insurrection, explosion, pandemics, quarantines, acts of God, acts of government or governmental restraint, and natural disasters such as floods, earthquakes, landslides, and fires, or other catastrophic events which are beyond the reasonable control of CONSULTANT and which CONSULTANT could not reasonably be expected to have prevented or controlled. “Other catastrophic events” does not include the financial inability of CONSULTANT to perform or failure of CONSULTANT to obtain either any necessary permits or licenses from other governmental agencies or the right to use the facilities of any public utility where such failure is due solely to the acts or omissions of CONSULTANT.

ARTICLE 45. WARRANTY
CONSULTANT warrants that all Services performed shall be in accordance with the Contract and all applicable professional standards. In the event of a breach of this provision, CONSULTANT shall take the necessary actions to correct the breach at CONSULTANT’s sole expense. If CONSULTANT does not take the necessary action to correct the breach, SBCOG, without waiving any other rights or remedies it may have, may take the necessary steps to correct the breach, and the CONSULTANT shall promptly reimburse SBCOG for all expenses and costs incurred.
ARTICLE 46. ENTIRE DOCUMENT

46.1 This Contract constitutes the sole and only agreement governing the Services and supersedes any prior understandings, written or oral, between the Parties respecting the Project. All previous proposals, offers, and other communications, written or oral, relative to this Contract, are superseded except to the extent that they have been expressly incorporated into this Contract.

46.2 No agent, official, employee or representative of SBCOG has any authority to bind SBCOG to any affirmation, representation or warranty outside of, or in conflict with, the stated terms of this Contract, and CONSULTANT hereby stipulates that it has not relied, and will not rely, on same.

46.3 Both Parties have been represented or had the full opportunity to be represented by legal counsel of their own choosing in the negotiation and preparation of this Contract. Therefore, the language in all parts of this Contract will be construed, in all cases, according to its fair meaning, and not for or against either Party.

ARTICLE 47. COMPLIANCE WITH THE AMERICANS WITH DISABILITIES ACT

CONSULTANT shall comply with all applicable provisions of the Americans with Disabilities Act in performing Services under this Contract.

ARTICLE 48. EFFECTIVE DATE

The date that this Contract is executed by SBCOG shall be the Effective Date of the Contract.

-------------------------SIGNATURES ARE ON THE FOLLOWING PAGE-------------------------
IN WITNESS WHEREOF, the Parties hereto have executed this Contract below.

CONSULTANT

By: __________________________
Name
Title

Date: __________________________

SAN BERNARDINO ASSOCIATED GOVERNMENSTS

By: __________________________
Darcy McNaboe
President, Board of Directors

Date: __________________________

APPROVED AS TO FORM

By: __________________________
Julianna K. Tillquist
General Counsel

Date: __________________________

CONCURRENCE

By: __________________________
Jeffery Hill
Procurement Manager

Date: __________________________
EXHIBIT “A”

“SCOPE OF SERVICES”
EXHIBIT “B”
“PRICE PROPOSAL”
ATTACHMENT “C”
RFP FORMS
CERTIFICATE OF COMPLIANCE WITH INSURANCE REQUIREMENTS

(FORM MUST BE COMPLETED IN ITS ENTIRETY AND BE INCLUDED WITH PROPOSAL OR BID SUBMITAL)

INSURANCE REQUIREMENTS: (check appropriate boxes below)

☐ Contractor/Consultant has provided a copy of the insurance requirements contained in the San Bernardino Associated Governments, known as San Bernardino Council of Governments (SBCOG) Contract to their agent or broker to confirm the ability to meet requirements.

AND

☐ Contractor/Consultant certifies that the company or individual is fully prepared to secure the necessary insurance coverage and limits as detailed in the SBCOG Contract and comply with all insurance requirements.

OR

☐ Consultant has identified areas of conflict with the insurance requirements and has provided a list of concerns in its response to the RFP and has referenced each section and page number identified in the SBCOG Contract.

Company Information:

Company/Individuals Name

Address

City                                      State          Zip Code

Principal Name                           Title

Principal Signature                      Date

Phone                                    Email Address

Broker Information:

Broker Name

Address

City                                      State          Zip Code

Phone Number                             Email Address
SAN BERNARDINO COUNTY TRANSPORTATION AUTHORITY
DISCLOSURE OF CAMPAIGN CONTRIBUTIONS TO BOARD OF DIRECTORS

Government Code Section 84308, 2 California Code of Regulations 18438.1, et seq.

No Member of the Board of Directors or alternates of the San Bernardino County Transportation Authority shall receive or solicit a campaign contribution of more than $250 from Bidder or Bidder’s agent during the time of: 1) Bid solicitation; 2) Consideration of Bids received; and 3) Awarding of a contract or execution of a purchase and sale agreement based on a Bid (collectively referred to as the “Proceeding”), and for 3 months following the conclusion of the Proceeding. This prohibition does not apply to the awarding of contracts that are competitively bid. In addition, Directors and alternates cannot participate in any such matters if they have received more than $250 in campaign contributions within the last year from anyone financially interested in the Proceeding, such as Bidder and/or Bidder’s agent.

Pursuant to these requirements, Bidder shall disclose any campaign contribution in an amount of more than $250 made by Bidder, and/or Bidder’s agent, to any Director within 12 months from the date of these Bid Documents/Request for Proposals (as applicable). For the purpose of this disclosure obligation, contributions made by Bidder within the preceding 12 months shall be aggregated with those made by Bidder’s agent within the preceding 12 months or the period of the agency relationship between the Bidder and Bidder’s agent, whichever is shorter. In addition, Bidder and/or Bidder’s agent shall not make a contribution of more than $250 to a Director or alternate during the Proceeding and for 3 months following the conclusion of the Proceeding.

The disclosure by Bidder, as set forth, herein, shall be incorporated into the written record of the Proceeding and shall be made available to the public for inspection and copying.

1. Have you or your company, or any agent on behalf of you or your company, made any political contributions of more than $250 to any SBCTA Director or alternate in the 12 months preceding the date of issuance of this Request for Bids/Requests for Proposals?
   _____ YES _____ NO
   
   Board Member Name: _____________________________ Date: _________________

2. Do you or your company, or any agency on behalf of you or your company, anticipate or plan to make any political contributions of more than $250 to any Board member or alternate?
   _____ YES _____ NO
   
   Board Member Name: _____________________________ Date: _________________

Answering yes to either of the two questions above does not preclude SBCTA from awarding a bid to your firm. It does, however, preclude the identified Board member or alternate from participating in the Bid/contract award process for this Bid/contract.

A current list of the Board of Directors and alternates of the San Bernardino County Transportation Authority is attached as Attachment A.
(CONTINUED)

**BIDDER INFORMATION:**

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<tr>
<td>Agency</td>
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<tr>
<td>City of Adelanto</td>
<td>Gabriel Reyes</td>
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<tr>
<td>City of Loma Linda</td>
<td>Rhodes “Dusty” Rigsby</td>
</tr>
<tr>
<td>City of Montclair</td>
<td>John Dutrey</td>
</tr>
<tr>
<td>City of Needles</td>
<td>Edward Paget</td>
</tr>
<tr>
<td>City of Ontario</td>
<td>Alan Wapner</td>
</tr>
<tr>
<td>City of Rancho Cucamonga</td>
<td>L. Dennis Michael</td>
</tr>
<tr>
<td>City of Redlands</td>
<td>Toni Momberger</td>
</tr>
<tr>
<td>City of Rialto</td>
<td>Deborah Robertson</td>
</tr>
<tr>
<td>City of San Bernardino</td>
<td>John Valdivia</td>
</tr>
<tr>
<td>City of Twentynine Palms</td>
<td>Joel Klink</td>
</tr>
<tr>
<td>City of Upland</td>
<td>Debbie Stone</td>
</tr>
<tr>
<td>City of Victorville</td>
<td>James Cox</td>
</tr>
<tr>
<td>City of Yucaipa</td>
<td>David Avila</td>
</tr>
<tr>
<td>County of San Bernardino 1&lt;sup&gt;st&lt;/sup&gt; District</td>
<td>Robert Lovingood</td>
</tr>
<tr>
<td>County of San Bernardino 2&lt;sup&gt;nd&lt;/sup&gt; District</td>
<td>Janice Rutherford</td>
</tr>
<tr>
<td>County of San Bernardino 3&lt;sup&gt;rd&lt;/sup&gt; District</td>
<td>Dawn Rowe</td>
</tr>
<tr>
<td>County of San Bernardino 4&lt;sup&gt;th&lt;/sup&gt; District</td>
<td>Curt Hagman</td>
</tr>
<tr>
<td>County of San Bernardino 5&lt;sup&gt;th&lt;/sup&gt; District</td>
<td>Josie Gonzales</td>
</tr>
<tr>
<td>Town of Apple Valley</td>
<td>Art Bishop</td>
</tr>
<tr>
<td>Town of Yucca Valley</td>
<td>Rick Denison</td>
</tr>
</tbody>
</table>

AJ 12.2019
EXHIBIT 12-B
BIDDER’S LIST OF SUBCONTRACTORS
PART I & II
(DBE AND NON-DBE)
INSTRUCTIONS - EXHIBIT 12-B BIDDER'S LIST OF SUBCONTRACTORS
(DBE AND NON-DBE) PART I AND PART II

ALL PROPOSERS:

The U.S. Department of Transportation (DOT) requires SBCTA to maintain a “Bidders List” containing information about all firms (DBE and non-DBE) that bid, proposal or quote on SBCTA’s DOT-assisted contracts, in accordance with 49 CFR Part 26.11, for use in SBCTA’s overall annual DBE goal-setting process. Therefore, the Proposer shall provide the requested information for every firm who submitted a quote, bid, or proposal, including the primary Proposer whether successful or unsuccessful in their attempt to obtain a contract:

a. Firm name;
b. Firm address;
c. Phone number
d. A description of the work that each DBE will perform;
e. Range of annual gross receipts for the last year;

PART I - Identifies all subcontractors (DBE and Non-DBE) that provided a quote, bid, or proposal.

PART II - Identifies all subcontractors (DBE and Non-DBE) that provided a quote, bid, or proposal but were not selected to participate as a subcontractor on the project.

It is the Proposers responsibility to verify that the DBE(s) are certified with the CUCP.
Exhibit 12-B  Bidder’s List of Subcontractor (DBE and Non-DBE) Part 1

As of March 1, 2015 Contractors (and sub-contractors) wishing to bid on public works contracts shall be registered with the State Division of Industrial Relations and certified to bid on Public Works contracts. Please register at: [https://www.dir.ca.gov/Public-Works/Contractor-Registration.html](https://www.dir.ca.gov/Public-Works/Contractor-Registration.html)

In accordance with Title 49, Section 26.11 of the Code of Federal Regulations, and Section 4104 of the Public Contract Code of the State of California, as amended, the following information is required for each sub-contractor who will perform work amounting to more than one half of one percent (0.5%) of the Total Base Bid or $10,000 (whichever is greater). **Photocopy this form for additional firms.**

Federal Project Number: _______________________

<table>
<thead>
<tr>
<th>Subcontractor Name and Location</th>
<th>Line Item &amp; Description</th>
<th>Subcontract Amount</th>
<th>Percentage of Bid Item Subcontracted</th>
<th>Contractor License Number</th>
<th>DBE (Y/N)</th>
<th>DBE Cert Number</th>
<th>Annual Gross Receipts</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>□ less than $1 million</td>
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<td>City, State:</td>
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<td></td>
<td>□ less than $15 million</td>
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<tr>
<td>Age of Firm: ____ yrs.</td>
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<td>□ less than $1 million</td>
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<td></td>
<td></td>
<td>□ less than $15 million</td>
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<tr>
<td>Age of Firm: ____ yrs.</td>
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<td>□ less than $1 million</td>
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<td>□ less than $15 million</td>
</tr>
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<td>Age of Firm: ____ yrs.</td>
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<td>□ less than $1 million</td>
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<td></td>
<td></td>
<td>□ less than $15 million</td>
</tr>
</tbody>
</table>

Distribution: 1) Original-Local Agency File  2) Copy-DLAE w/ Award Package
In accordance with Title 49, Section 26 of the Code of Federal Regulations, the Bidder shall list all subcontractor who provided a quote or bid but were not selected to participate as a subcontractor on this project. **Photocopy this form for additional firms.**

### Bidder’s List of Subcontractor (DBE and Non-DBE) Part 2

<table>
<thead>
<tr>
<th>Subcontractor Name and Location</th>
<th>Line Item &amp; Description</th>
<th>Subcontract Amount</th>
<th>Percentage of Bid Item Subcontracted</th>
<th>Contractor License Number</th>
<th>DBE (Y/N)</th>
<th>DBE Cert Number</th>
<th>Annual Gross Receipts</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name:</td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>City, State:</td>
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<tr>
<td>Name:</td>
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<tr>
<td>City, State:</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>Name:</td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>City, State:</td>
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</tr>
</tbody>
</table>

Distribution: 1) Original-Local Agency File  2) Copy-DLAE w/ Award Package
ATTACHMENT “D”
REFERENCE FORM
REFERENCE FORM
(Sample Cover Letter)

Date:
Name of Reference and Title
Address, City, State, Zip Code
Telephone No., Email Address
SUBJECT: Request for Proposal RFP20-1002380

Dear _______________,

San Bernardino Associated Governments, known as San Bernardino Council of Governments (SBCOG) has released Request for Proposal (RFP) RFP 20-1002380 for Financial Advisory & Program Management Services for Administration of PACE Assessments.

Our firm is currently responding to the RFP and SBCOG has requested that Proposers provide references from customers and clients who have received similar work or services from me or our firm.

Your firm was identified as a reference and are respectfully requested to take a minute to complete and sign the attached questionnaire and submit it to SBCOG directly at procurement@gosbcta.com. Please note, SBCOG will not accept or give consideration to questionnaires submitted by proposers.

The questionnaire is due no later than (proposer select the due date); however, if you can possibly submit the questionnaire sooner it would be greatly appreciated.

The information as described in the questionnaire is very important and helpful in assisting our firm with SBCOG’s selection process. We sincerely appreciate your cooperation in this matter.

Sincerely,

____________________________________________________________________________________
Reference Name       Title
____________________________________________________________________________________

____________________________________________________________________________________
Signature       Date
### CONSULTANT REFERENCE CHECK

#### PROPOSING FIRM

**NAME**

TO BE COMPLETED BY REFERENCE AGENCY/FIRM:

<table>
<thead>
<tr>
<th>Project Owner/Agency Name</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Address</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>City</th>
<th>State</th>
<th>Zip Code</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<td></td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Contact Name</th>
<th>Contact Title</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Phone</th>
<th>Email Address</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### What role did the firm/key person serve on the project?

### What services did the firm/key person provide for the project?

### Ratings:

<table>
<thead>
<tr>
<th>Question</th>
<th>Rating Definition</th>
<th>Rating</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Excellent (3)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Took initiative, consistently demonstrated knowledge of the management consulting services on the “Project”, consistently anticipated and solved issues.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Good (2)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Demonstrated knowledge of Project, solved unexpected issues promptly, few mistakes.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Satisfactory (1)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Demonstrated knowledge of the Project, occasional unanticipated issues arose, some mistakes.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Poor (0)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Knowledge of the Project was lacking, issues remained unresolved, frequent mistakes.</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Excellent (3)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Consistently provided thorough and practical advice, anticipated issues we did not point out.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Good (2)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Rarely had to redirect the firm/key person and advice provided was valuable and responsive.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Satisfactory (1)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Usually provided helpful information and advice.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Poor (0)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Repeatedly had to be redirected and prompted to provide an adequate response.</td>
<td></td>
</tr>
</tbody>
</table>

(N/A IF NOT APPLICABLE - PROVIDE AN EXPLANATION IN THE COMMENTS SECTION)
<table>
<thead>
<tr>
<th>Question</th>
<th>Rating Definition</th>
<th>Rating</th>
</tr>
</thead>
<tbody>
<tr>
<td>3. How was the firm’s/key person’s independence projected and communicated with the Agency?</td>
<td><strong>Excellent (3)</strong> Discussed methodology/proposed changes of Agency and provided adequate research results to support their position and stood firm on their decision. Always answered/returned calls and correspondence or provided updates promptly.</td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Good (2)</strong> Discussed methodology/proposed changes of Agency and provided adequate research results to support their position, but did not always stand firm on their decision. Answered/returned calls and correspondence or provided updates promptly and most of the time.</td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Satisfactory (1)</strong> Discussed methodology/proposed changes of Agency, but did not provide adequate research results to support their position and did not always stand firm on their decision. Answered/returned calls and correspondence or provided updates, but not always promptly.</td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Poor (0)</strong> Discussed methodology/proposed changes of Agency but did not research or provide support even if they did not agree with changes/methodology; did not stand firm on their decision/frequently sided with Agency. Did not answer/return calls or correspondence or provide updates.</td>
<td></td>
</tr>
<tr>
<td>4. How do you rate the firm’s/individual’s knowledge with proposed work?</td>
<td><strong>Excellent (3)</strong> Exceeded most expectations (knowledge of project requirements always apparent.).</td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Good (2)</strong> Exceeded some expectations (knowledge of project requirements frequently apparent.).</td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Satisfactory (1)</strong> Met expectations (knowledge of project requirement at times, but further research required).</td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Poor (0)</strong> Failed to meet expectations (knowledge of project requirements lacking).</td>
<td></td>
</tr>
<tr>
<td>5. How do you rate the firm’s/key person’s experience?</td>
<td><strong>Excellent (3)</strong> Exceeded most expectations (always negotiated, resolved and processed change orders in a timely manner).</td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Good (2)</strong> Exceeded some expectations (always negotiated, resolved and processed change orders and most of the time).</td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Satisfactory (1)</strong> Met expectations (negotiated, resolved and processed change orders, but not always promptly).</td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Poor (0)</strong> Failed to meet expectations (negotiated, resolved and processed change orders, but consistently late).</td>
<td></td>
</tr>
<tr>
<td>Question</td>
<td>Rating Definition</td>
<td>Rating</td>
</tr>
<tr>
<td>-------------------------------------------------------------------------</td>
<td>--------------------------------------------------------</td>
<td>--------</td>
</tr>
<tr>
<td>6. Were the required Services completed on time and to your satisfaction?</td>
<td>Excellent (3)</td>
<td>Always on time or ahead of schedule.</td>
</tr>
<tr>
<td></td>
<td>Good (2)</td>
<td>On time.</td>
</tr>
<tr>
<td></td>
<td>Satisfactory (1)</td>
<td>Occasionally late.</td>
</tr>
<tr>
<td>If the rating is Poor, please provide an explanation here:</td>
<td>Poor (0)</td>
<td>Consistently late.</td>
</tr>
<tr>
<td>7. Did the firm/key person's stay within budget?</td>
<td>Excellent (3)</td>
<td>Always within budget.</td>
</tr>
<tr>
<td></td>
<td>Good (2)</td>
<td>Most often within budget.</td>
</tr>
<tr>
<td></td>
<td>Satisfactory (1)</td>
<td>Somewhat within budget.</td>
</tr>
<tr>
<td>If the rating is Poor, please provide an explanation here:</td>
<td>Poor (0)</td>
<td>Consistently over budget.</td>
</tr>
<tr>
<td>8. How do you rate the firm's/ key person's task management and scheduling abilities?</td>
<td>Excellent (3)</td>
<td>Exceeded most expectations.</td>
</tr>
<tr>
<td></td>
<td>Good (2)</td>
<td>Exceeded some expectations.</td>
</tr>
<tr>
<td></td>
<td>Satisfactory (1)</td>
<td>Met expectations.</td>
</tr>
<tr>
<td>If the rating is Poor, please provide an explanation here:</td>
<td>Poor (0)</td>
<td>Failed to meet expectations.</td>
</tr>
</tbody>
</table>

Additional Comments (Use additional sheets as necessary):

Print Contact Name

Contact Signature

Title

Date

Please Submit to:
San Bernardino Associated Governments
1170 W. 3rd Street, 2nd Fl., San Bernardino, CA 92410
Phone: (909) 884-8276 - Email: procurement@gosbcta.com